



**IN THE UNITED STATES PATENT & TRADEMARK OFFICE**

APPLICANT : Danette Andrea Dudley et a.      EXAMINER: Balasubramanian, V.  
SERIAL NO : 09/601,479 ✓      ART UNIT : 1624  
FILED : August 3, 2000 ✓      PAPER NO : 7  
FOR : QUINOLINONES AS SERINE PROTEASE INHIBITORS ✓

**SUPPLEMENTAL  
INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted after the mailing date of a first Office Action on-the-merits, but before the mailing date of a final action under 37 C.F.R. § 1.113, and before the mailing date of the Notice of Allowance under 37 C.F.R. § 1.311. Please charge any fees or credit any overpayment to Deposit Account 23-0455.

In accordance with 37 C.F.R. §1.98(a)(2), a copy of each document or other information listed on the enclosed Form 1449 is provided.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37



C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art."

Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Respectfully submitted,

Andrew J. Leon  
Reg. No. 46,869

March 5, 2003  
Date

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	<b>Application Number</b>	09/601,479
	<b>Filing Date</b>	August 3, 2000
	<b>First Named Inventor</b>	Danette A. Dudley et al
	<b>Group Art Unit</b>	1624
	<b>Examiner Name</b>	Balasubramanian, V.
<b>Total Number of Pages in This Submission</b>		<b>Attorney Docket Number</b> 5712-01-AJL

**ENCLOSURES (check all that apply)**

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): Return Postcard Form-1449 Cited References
<b>Remarks</b> 		

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**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

<b>Firm or Individual name</b>	Andrew J. Leon
<b>Signature</b>	<i>Andrew J. Leon</i>
<b>Date</b>	3/5/03

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 3/5/03	
<b>Typed or printed name</b>	Diane L. Hetzler
<b>Signature</b>	<i>Diane L. Hetzler</i>
<b>Date</b>	3/5/03

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Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	09/601,479
				Filing Date	August 8, 2000
				First Named Inventor	Danette A. Dudley et al
				Group Art Unit	1624
				Examiner Name	V. Balasubramanian
Sheet	1	of	3	Attorney Docket Number	5712-01

[illegible][illegible]

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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PTO/SB/08B (08-00)  
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<b>Substitute for form 1449B/PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)  Sheet 2 of 3			<b>Complete if Known</b>	
			Application Number	09/601,479
			Filing Date	August 8, 2000
			First Named Inventor	Danette A. Dudley et al
			Group Art Unit	1624
			Examiner Name	V. Balasubramanian
			Attorney Docket Number	5712-01

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		"Chemical Abstracts Accession Number 56:12890 HCA"	
		"Chemical Abstracts Accession Number 62:74780 HCA"	
		"Chemical Abstracts Accession Number 51:25546 HCA"	
		"Chemical Abstracts Accession Number 62:74780 HCA"	
		"Chemical Abstracts Accession Number 51:81474 HCA"	
		"Chemical Abstracts Accession Number 52:92876 HCA"	
		"Chemical Abstracts Accession Number 123:198641 HCA"	
		"Chemical Abstracts Accession Number 65:38425 HCA"	
		"Chemical Abstracts Accession Number 65:38425 HCA"	
		"Chemical Abstracts Accession Number 66:70538 HCA"	
		"Chemical Abstracts Accession Number 74:140019 HCA"	

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